IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Koichiro Watanabe Examiner:

Serial No: To be assigned Art Unit: Unassigned

Filed: Herewith Docket: 17550

For: OPTICAL DEFLECTION DEVICE, Dated: March 23, 2004

OPTICAL SWITCH, AND CONTROL

METHOD OF OPTICAL DEFLECTION SURFACE

Mal Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

- 1. International Publication No. WO 01/95013 A2, published December 13, 2001;
- 2. United States Patent No. 6,335,811 B1, dated January 1, 2002 to Sakanaka; and
- 3. R. Sawada et al., "8th Chapter Design and Simulation of Optical Micromachine", November 2002, pp. 159.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: March 23, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: March 23, 2004

Unassigned

Applicant is submitting copies of references 1 and 3. Reference 2 is not being submitted herewith, as copies of U.S. patents are no longer required per the waiver of 37 C.F.R. § 1.98(a)(2)(i) in effect as of June 30, 2003. The relevance of the above-cited references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Thomas Spinelli

Registration No.: 39,533

Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343

TS:dg

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 7-80) PATENT AND TRADEMARK OFFICE				Atty. Docket No. (Optional)			Application Number			
LIST OF PRIOR ART CITED BY APPLICANT				17550			To be assigned			
(Use several sheets if necessary)										
			Applicant(s) Koichiro Watanabe							
					g Date	Group Art Unit				
					ewith	Unassigned				
U.S. PATENT DOCUMENTS										
EXAMINER INITIAL*		DOCUMENT NUMB	ER DATE	,	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)		
	AA	6,335,811 B1	1/1/02		Sakanaka					
	AB									
	AC	·								
	AD									
	AE									
FOREIGN PATENT DOCUMENTS										
REF		OCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION		
						,		YES	NO	
	V	VO 01/95013 A2	12/13/01	PC.	Γ					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)										
		R. Sawada et al., "8 th Chapter Design and Simulation of Optical Micromachine", November 2002, pp. 159								
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EVALUE				T = :=	P. GOMOP					
EXAMINER					DATE CONSIDERED					
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										